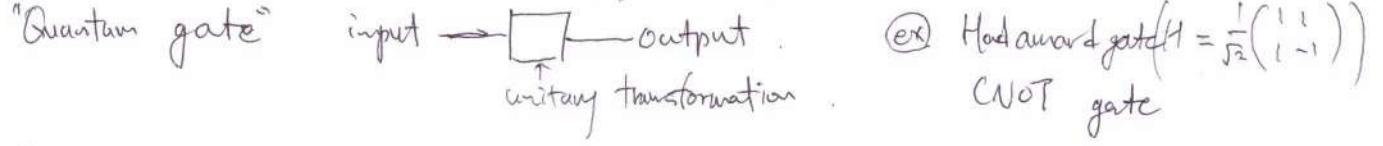


Review

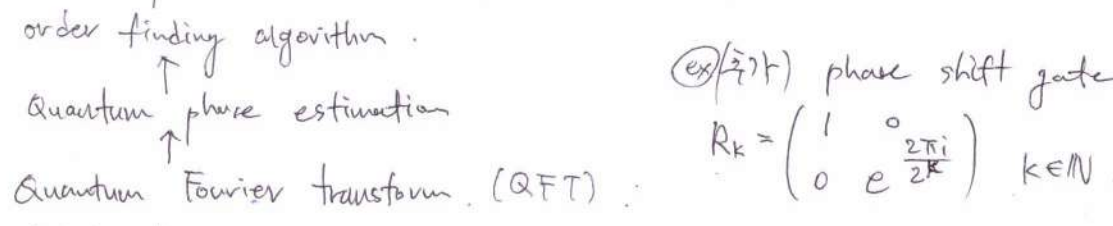
"Quantum bits"

$H_1: |\varphi\rangle = \alpha_0|0\rangle + \alpha_1|1\rangle, \alpha_0, \alpha_1 \in \mathbb{C}, |\alpha_0|^2 + |\alpha_1|^2 = 1$

$H_n: |\varphi\rangle = \sum_{\vec{b} \in \{0,1\}^n} \alpha_{\vec{b}} |\vec{b}\rangle, \sum_{\vec{b} \in \{0,1\}^n} |\alpha_{\vec{b}}|^2 = 1$ . (ex)  $|\varphi\rangle = \frac{1}{\sqrt{2}}(|00\rangle - \frac{i}{\sqrt{2}}|11\rangle) = \frac{1}{\sqrt{2}}|0\rangle_2 - \frac{i}{\sqrt{2}}|3\rangle_2$



Ch 6. Shor's algorithm: integer factorization: running time  $O((\log N)^3)$



$|x\rangle = |x\rangle_n, |00\rangle = |0\rangle_2, |111\rangle = |7\rangle_3$

Def QFT<sub>n</sub>:  $H_n \rightarrow H_n$ , for  $|x\rangle_n, x \in \mathbb{Z}_{2^n}$

$QFT_n |x\rangle_n = \frac{1}{\sqrt{2^n}} \sum_{y=0}^{2^n-1} e^{2\pi i \frac{xy}{2^n}} |y\rangle_n \in H_n \because \sum_{y=0}^{2^n-1} \left(\frac{1}{\sqrt{2^n}}\right)^2 |e^{2\pi i \frac{xy}{2^n}}|^2 = 1$

$QFT_n^{-1} |x\rangle_n = \frac{1}{\sqrt{2^n}} \sum_{y=0}^{2^n-1} e^{-2\pi i \frac{xy}{2^n}} |y\rangle_n$  (check)

How to express using circuit?

$|x\rangle_n \rightarrow \frac{1}{\sqrt{2^n}} \sum_{y=0}^{2^n-1} e^{2\pi i \frac{xy}{2^n}} |y\rangle_n$  ( $|y\rangle_n = |y_1 y_2 \dots y_n\rangle, y = 2^{n-1}y_1 + \dots + 2^1y_{n-1} + y_n$ )

$= \frac{1}{\sqrt{2^n}} \sum_{y_1=0}^1 \dots \sum_{y_n=0}^1 e^{2\pi i x (\sum_{l=1}^n y_l 2^{-l})} |y_1 \dots y_n\rangle$

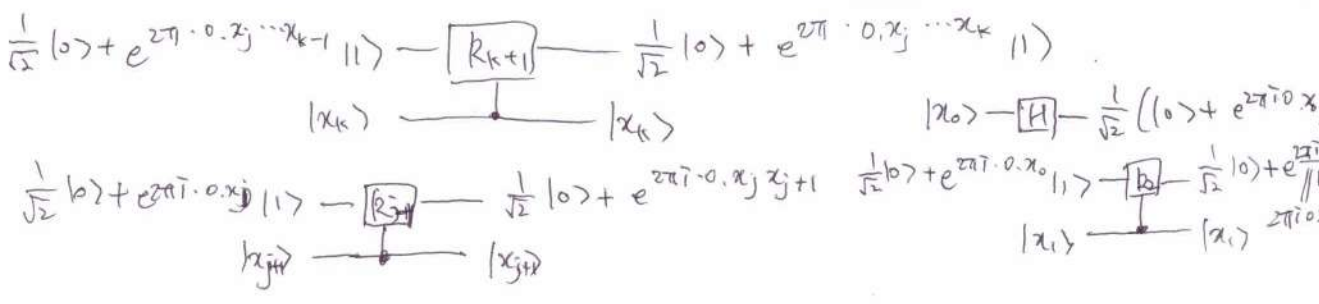
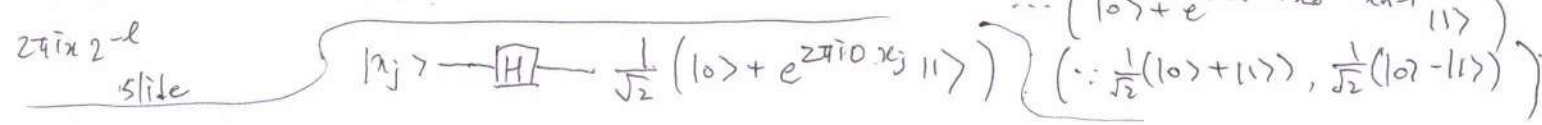
$= \frac{1}{\sqrt{2^n}} \sum_{y_1=0}^1 \dots \sum_{y_n=0}^1 e^{2\pi i x y_1 2^{-1}} |y_1\rangle \otimes \dots \otimes e^{2\pi i x y_n 2^{-n}} |y_n\rangle$

$= \frac{1}{\sqrt{2^n}} \left( \sum_{y_1=0}^1 e^{2\pi i x y_1 2^{-1}} |y_1\rangle \right) \otimes \dots \otimes \left( \sum_{y_n=0}^1 e^{2\pi i x y_n 2^{-n}} |y_n\rangle \right)$

$= \frac{1}{\sqrt{2^n}} \left( \bigotimes_{l=1}^n \left( \sum_{y_l=0}^1 e^{2\pi i x y_l 2^{-l}} |y_l\rangle \right) \right) = \frac{1}{\sqrt{2^n}} \left( \bigotimes_{l=1}^n (|0\rangle + e^{2\pi i x 2^{-l}} |1\rangle) \right)$

$|x\rangle_n = |x_0 x_1 \dots x_{n-1}\rangle$   
 $x = 2^{n-1}x_0 + \dots + 2^0x_{n-1}$

$= \frac{1}{\sqrt{2^n}} (|0\rangle + e^{2\pi i \cdot 0 \cdot x_{n-1}} |1\rangle) (|0\rangle + e^{2\pi i \cdot 0 \cdot x_{n-2} x_{n-1}} |1\rangle) \dots (|0\rangle + e^{2\pi i \cdot 0 \cdot x_0 \dots x_{n-1}} |1\rangle)$

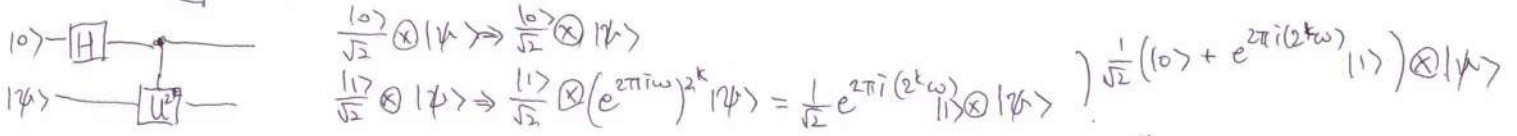
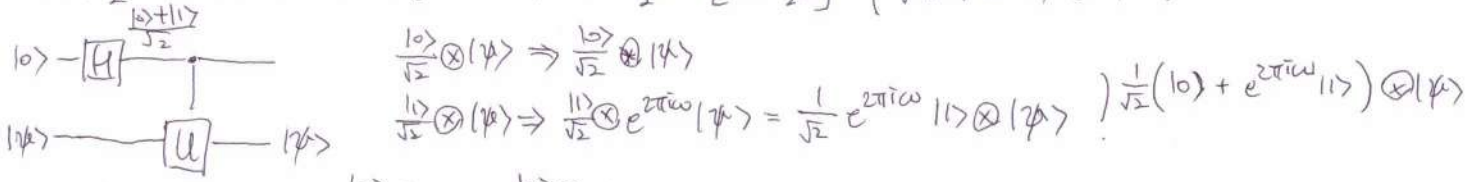


### §6.3 Quantum phase estimation.

operator  $U$  has an eigenvector  $|\psi\rangle$  with eigenvalue  $\lambda$ ,  $|\lambda|=1 \Rightarrow \lambda = e^{2\pi i \omega}$

Goal: approximate  $\omega \approx \frac{x}{2^n}$ ,  $x \in \mathbb{Z}_2^n$ .  $n$ : precision parameter.

$\omega \in \mathbb{R}$ ,  $\frac{x}{2^n} \in [0, 1)$   $\Rightarrow \Delta(\omega, n, x) = \omega - \frac{x}{2^n} - [\omega - \frac{x}{2^n}]$  (fractional part) (\*)



Do this simultaneously for  $k=0, \dots, n-1$  & trace out  $|\psi\rangle$ .  $\omega \approx \frac{x}{2^n} = 0.x_0 x_1 \dots x_{n-1}$ .

$\Rightarrow \frac{1}{\sqrt{2^n}} (|0\rangle + e^{2\pi i (2^{n-1} \omega)} |1\rangle) \otimes \frac{1}{\sqrt{2}} (|0\rangle + e^{2\pi i (2^{n-2} \omega)} |1\rangle) \otimes \dots \otimes \frac{1}{\sqrt{2}} (|0\rangle + e^{2\pi i (2^1 \omega)} |1\rangle) \otimes \frac{1}{\sqrt{2}} (|0\rangle + e^{2\pi i (2^0 \omega)} |1\rangle)$   
 $= \frac{1}{\sqrt{2^n}} (|0\rangle + e^{2\pi i \cdot x_{n-1}} |1\rangle) (|0\rangle + e^{2\pi i \cdot 0.x_{n-2} x_{n-1}} |1\rangle) \dots (|0\rangle + e^{2\pi i \cdot 0.x_0 x_1 \dots x_{n-1}} |1\rangle)$

$QFT^{-1} \rightarrow |x_0 x_1 \dots x_{n-1}\rangle_n = |x\rangle_n$ .  $\therefore \omega = \frac{x}{2^n}$ ,  $\lambda = e^{2\pi i \omega}$ : eigenvalue

(\*)  $e^{2\pi i (\omega - \frac{x}{2^n})} = e^{2\pi i \Delta(\omega, n, x)}$

Algorithm:  $U, |\psi\rangle \Rightarrow QFT_n^{-1} \left( \frac{1}{\sqrt{2^n}} \sum_{y=0}^{2^n-1} e^{2\pi i y \omega} |y\rangle_n \right) = QFT_n^{-1} |\psi_n(\omega)\rangle$   
 $= |\psi_n(\omega)\rangle$

$2^n = N$ .  $\Delta = \Delta(\omega, n, x)$   
 $= \frac{1}{\sqrt{N}} \sum_{y=0}^{N-1} e^{2\pi i \omega y} QFT_n^{-1} |y\rangle_n$   
 $= \frac{1}{\sqrt{N}} \sum_{y=0}^{N-1} e^{2\pi i \omega y} \frac{1}{\sqrt{N}} \sum_{x=0}^{N-1} e^{-2\pi i \frac{y}{N} x} |x\rangle_n = \sum_{x=0}^{N-1} \frac{1}{N} \left( \sum_{y=0}^{N-1} e^{2\pi i \omega y} \right) |x\rangle_n$

$\Delta = 0 \Rightarrow x = 2^n \omega$ ,  $p(x) = 1$ .  $|1 - e^{2\pi i \theta}| = |e^{-\pi i \theta} - e^{\pi i \theta}| = 2|\sin \pi \theta|$   
 $\Delta \neq 0 \Rightarrow p(x) = \frac{1}{N^2} \left| \frac{1 - e^{2\pi i \omega N \Delta}}{1 - e^{2\pi i \omega \Delta}} \right|^2 = \frac{1}{N^2} \frac{\sin^2(N\pi \Delta)}{\sin^2 \pi \Delta}$   
 $p(x) = \left| \frac{1}{N} \sum_{y=0}^{N-1} e^{2\pi i \omega y} \right|^2 = \frac{1}{N^2} \left| \sum_{y=0}^{N-1} e^{2\pi i \omega y} \right|^2$

#### Thm 6.3.7

- (1)  $2^n \omega \in \mathbb{Z} \Rightarrow x = 2^n \omega$  with prob 1.
- (2)  $|\Delta(\omega, n, x)| \leq \frac{1}{2^{n+1}}$  with prob at least  $\frac{4}{\pi^2}$ .
- (3)  $|\Delta(\omega, n, x)| \leq \frac{1}{2^n}$  with prob at least  $\frac{8}{\pi^2}$ .

### §6.4 Order finding

Input:  $N \in \mathbb{N}$ ,  $a \in \mathbb{Z}_N$ ,  $\gcd(a, N) = 1$ . Output: order  $r$  of  $a$  mod  $N$ ,  $a^r \equiv 1 \pmod{N}$

Def  $c \in \mathbb{Z}$ ,  $\gcd(c, N) = 1$ .  $U_c: \mathbb{H}_n \rightarrow \mathbb{H}_n$ .  $|x\rangle_n \mapsto \begin{cases} |cx \pmod{N}\rangle_n & \text{if } 0 \leq x < N \\ |x\rangle_n & \text{if } N \leq x < 2^n \end{cases}$

$\Rightarrow$  unitary  $\therefore$  permutation.

Prop 6.4.4

①  $\forall k \in \mathbb{Z}, \forall t \in \mathbb{N}$ ,  $U_{at}$  has eigenstate  $|u_k\rangle = \frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} e^{-2\pi i \frac{ks}{r}} |a^s \bmod N\rangle_n$   
 with eigenvalue  $e^{2\pi i \frac{kt}{r}}$ .

pf)  $\mathbb{Z}_r \rightarrow \mathbb{Z}_r, s \mapsto (s+t) \bmod r$  : bijection.

$$\begin{aligned} U_{at}|u_k\rangle &= \frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} e^{-2\pi i \frac{ks}{r}} U_{at} |a^s \bmod N\rangle_n = \frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} e^{-2\pi i \frac{ks}{r}} |a^{s+t} \bmod N\rangle \\ &= e^{2\pi i \frac{kt}{r}} \frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} e^{-2\pi i \frac{k(s+t)}{r}} |a^{(s+t) \bmod r} \bmod N\rangle_n \\ &= e^{2\pi i \frac{kt}{r}} \frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} e^{-2\pi i \frac{ks}{r}} |a^s \bmod N\rangle_n = e^{2\pi i \frac{kt}{r}} |u_k\rangle \end{aligned}$$

②  $(|u_0\rangle, \dots, |u_{r-1}\rangle)$  : orthonormal (check)

③  $\frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} |u_k\rangle = |1\rangle_n$   
 $\therefore \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} \frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} e^{-2\pi i \frac{ks}{r}} |a^s \bmod N\rangle_n = \frac{1}{r} \sum_s \left( \sum_k e^{-2\pi i \frac{ks}{r}} \right) |a^s \bmod N\rangle_n$   
 $s=0 \Rightarrow \frac{1}{r} \sum_{k=0}^{r-1} 1 = 1, |a^s \bmod N\rangle_n = |1\rangle_n, \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} |u_k\rangle$  : quantum state.

$\therefore$  holds.

contains  $|u_0\rangle \sim |u_{r-1}\rangle$   
 $\downarrow$  with prob  $\frac{1}{r}$

Use order finding using  $U_a$ . (Instead eigenvector  $|u_k\rangle$ , prepare  $|1\rangle_n$ )

Thm 6.4.8 Algorithm computes the order  $r$  of  $a \bmod N$  with prob at least 0.399.  
 running time  $O((\log N)^3)$   $\frac{k}{r} \approx \frac{x}{2^n}$

Algorithm  $\left| \frac{x_1}{2^n} - \frac{p_1}{q_1} \right| \leq \frac{1}{2^n}$ .  $\frac{p}{q}$  : continued fraction — (\*\*)  
 Apply continued fraction on  $\frac{x_1}{2^n} \Rightarrow \frac{m_1}{r_1} = \frac{p_1}{q_1}$   
 repeat:  $\left| \frac{x_2}{2^n} - \frac{p_2}{q_2} \right| \leq \frac{1}{2^n} \Rightarrow \frac{m_2}{r_2} = \frac{p_2}{q_2}$   
 return:  $r = \text{lcm}(q_1, q_2)$  — (?)

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$\frac{k_1}{r} \approx \frac{x_1}{2^n}, \frac{k_2}{r} \approx \frac{x_2}{2^n}$   
 How do we get  $r$ ?

(\*\*)  $\frac{1}{16} = 0 + \frac{1}{16} = 0 + \frac{1}{2 + \frac{2}{7}} = 0 + \frac{1}{2 + \frac{1}{\frac{7}{2}}} = 0 + \frac{1}{2 + \frac{1}{3 + \frac{1}{2}}} \Rightarrow \frac{1}{16} = [0, 2, 3, 2]$   
 convergent:  $0, 0 + \frac{1}{2} = \frac{1}{2}, 0 + \frac{1}{2 + \frac{1}{3}} = \frac{3}{7}, \frac{1}{16}$

③ Lemma 6.4.11  $\frac{k_j}{r} = \frac{m_j}{r_j}, j=1, 2, \dots, \text{gcd}(k_1, k_2, r) = 1 \Rightarrow r = \text{lcm}(r_1, r_2)$   
 $(\text{gcd}(r_j, m_j) = 1)$

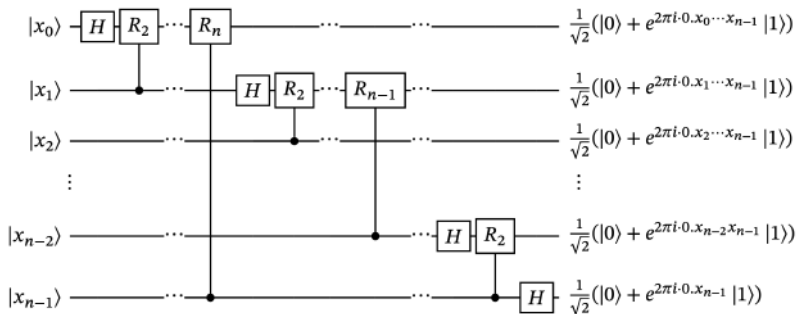
# The Algorithm of Shor

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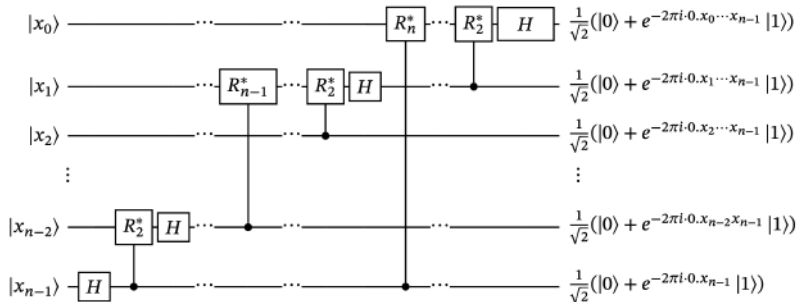
September 16, 2025

# Quantum Fourier Transform



**Figure 6.2.1.** A quantum circuit that computes  $\text{QFT}_n$  up to a permutation that reverses the order of the output qubits.

# Quantum Fourier Transform



**Figure 6.2.4.** Quantum circuit that computes  $\text{QFT}_n^{-1}$  up to a permutation that reverses the order of the output bits.

# Quantum Phase Estimation

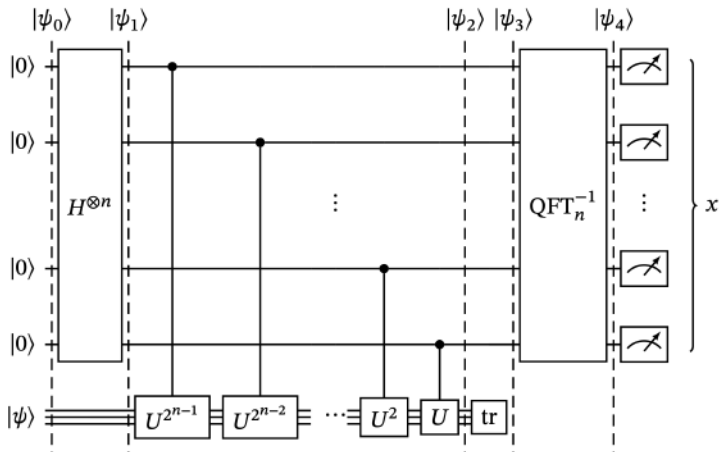
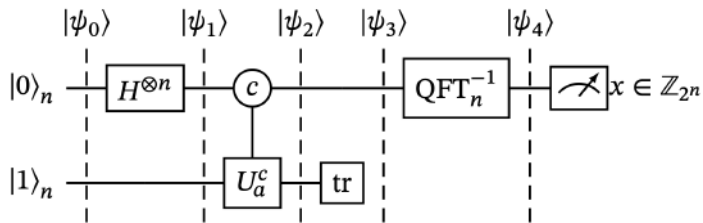


Figure 6.3.1. Quantum circuit for phase estimation.

# Order Finding



**Figure 6.4.1.** The modified phase estimation circuit  $Q_a$  used in the order finding algorithm.

*Thank you for your attention!*