

## Chap 6: Matrix groups over other fields.

① Definition of field: set with two operations (addition  $+$ , multiplication  $*$ )

satisfying ①  $(F, +)$  is abelian group with identity  $0$ .

②  $(F \setminus \{0\}, *)$  is abelian group with identity  $1$

③ Distribution law  $a(b+c) = ab+ac$

ex)  $\mathbb{R}, \mathbb{C}, \mathbb{Q}$

As we have done in real numbers, we investigate the matrix group over  $\mathbb{F}$  and their Lie algebra.

② Unitary group.

We have defined the Unitary group  $U(n, \mathbb{C}) = \{M \in M(n, \mathbb{C}) : \bar{M}^T M = I_n\}$

and special unitary group  $SU(n, \mathbb{C}) = \{M \in U(n, \mathbb{C}) : \det(M) = 1\}$

Def Matrix  $M \in M(n, \mathbb{C})$  is Hermitian iff  $M^* := \bar{M}^T = M$ .

Def  $x \cdot y = \bar{x}_1 y_1 + \dots + \bar{x}_n y_n$  is called Hermitian inner product.

Def  $|v| = \sqrt{v \cdot v}$  is the length of vector  $v \in \mathbb{C}^n$ .

Now, we want to associate a tangent space at identity to each of these matrix groups over the  $\mathbb{C}$ .

Preliminaries: Differentiation of complex vectors & matrices

Then now we may define a smooth curve passing through the identity in  $GL(n, \mathbb{C})$

$$L(G) = \left\{ \gamma'(0) : \gamma: \mathbb{R} \rightarrow G, \gamma \text{ smooth}, \gamma(0) = I_n \right\} \text{ for } G \leq GL(n, \mathbb{C})$$

The matrix exponential can be defined in similar manner,

$$\exp(A) = I + A + \frac{A^2}{2!} + \dots \quad \text{for } A \in M(n, \mathbb{C})$$

$$\text{and } \exp(\bar{A}) = \overline{\exp(A)}$$

Problem 6.2.6 <sup>6.2.8</sup> let  $G = SU(n, \mathbb{C})$ ,  $\gamma: \mathbb{R} \rightarrow G$  is a smooth curve passing identity.

(a) Show that  $\gamma(t) \in U(n, \mathbb{C})$  implies  $\overline{\gamma'(0)}^T + \gamma'(0) = 0_n$ .

$$\begin{aligned} \text{pf)} \gamma(t) \in U(n, \mathbb{C}) &\Rightarrow \overline{\gamma(t)}^T \gamma(t) = I_n \Rightarrow \overline{\gamma(t)}^T \gamma(t) + \overline{\gamma(t)}^T \gamma'(t) = 0_n \\ &\Rightarrow \overline{\gamma'(0)}^T \gamma(0) + \overline{\gamma(0)}^T \gamma'(0) = 0_n \\ &\Rightarrow \overline{\gamma'(0)}^T + \gamma'(0) = 0_n. \end{aligned}$$

(b) Suppose  $n=2$  and  $\gamma'(0) = \begin{pmatrix} a'(0) & b'(0) \\ c'(0) & d'(0) \end{pmatrix}$  with  $a'(0) = a_1 + ia_2$  etc.

What does (a) tell you?

$$\text{ans) } \overline{\gamma'(0)}^T + \gamma'(0) = 0_n \text{ implies } \begin{pmatrix} a'(0) + \overline{a'(0)} & b'(0) + \overline{c'(0)} \\ c'(0) + \overline{b'(0)} & d'(0) + \overline{d'(0)} \end{pmatrix} = 0_n \Rightarrow \begin{aligned} a'(0) + \overline{a'(0)} &= 0 \\ d'(0) + \overline{d'(0)} &= 0 \\ b'(0) + \overline{c'(0)} &= 0 \\ c'(0) + \overline{b'(0)} &= 0 \end{aligned}$$

also,  $\det[\gamma(t)] = 1$  implies  $\text{tr}[\gamma'(0)] = 0$ .

$$\left( \because 0 = \frac{d}{dt} \det[\gamma(t)] \Big|_{t=0} = d(\det) \Big|_{\gamma(t)} \circ \gamma'(t) \Big|_{t=0} = \text{tr}[\gamma'(0)] \right)$$

$\Rightarrow a'(0) + d'(0) = 0$ . This implies  $a, b, c, d$  has the form

$$a = u_1, \quad d = -u_1, \quad b = v + w_1 i, \quad c = -v + w_1 i \quad \Rightarrow L(G) \subseteq W := \left\{ \begin{bmatrix} u_1 & v + w_1 i \\ -v + w_1 i & -u_1 \end{bmatrix} \mid u, v, w \in \mathbb{R} \right\}$$

(c) We have shown this in (b).

(d)(e),  $W$  is closed in addition and real scalar multiplication,

but not in complex scalar multiplication,  $\Rightarrow L(G)$  is only real vector space.

6.2.8 Here we show that  $L(G) = W$ .

Let  $\sigma_1, \sigma_2, \sigma_3$  be Pauli spin matrices,  $\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ ,  $\sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ ,  $\sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

Note:  $\exp(it\sigma_i) = \left( I - \frac{t^2\sigma_i^2}{2!} + \frac{t^4\sigma_i^4}{4!} \dots \right) + i \left( \frac{t\sigma_i}{1!} - \frac{t^3\sigma_i^3}{3!} + \dots \right)$

$$= \left( 1 - \frac{t^2}{2!} + \frac{t^4}{4!} \dots \right) I + i \left( \frac{t}{1!} - \frac{t^3}{3!} + \dots \right) \sigma_i = \begin{pmatrix} \cos t & i \sin t \\ i \sin t & \cos t \end{pmatrix}$$

$\rightarrow$

Similarly,  $\exp(it\sigma_2) = (\cos t)I + i \sin t \sigma_2 = \begin{pmatrix} \cos t & \sin t \\ -\sin t & \cos t \end{pmatrix}$

$$\exp(it\sigma_3) = (\cos t)I + i \sin t \sigma_3 = \begin{pmatrix} \cos t + i \sin t & 0 \\ 0 & \cos t - i \sin t \end{pmatrix} = e^{it\sigma_3}$$

and all  $\exp(it\sigma_j) \in SU(2, \mathbb{C}) = G \Rightarrow i\sigma_j \in L(G) \quad j=1,2,3$

$\Rightarrow \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} \in L(G) \Rightarrow W \in L(G)$

( $\because L(G)$  is closed under addition)

6.2.10.

a. Assume  $A \in M(n, \mathbb{C})$  and  $\bar{A}^T + A = 0_n$ , explain why  $\bar{A}^T A = A \bar{A}^T$

pf)  $\bar{A}^T A = -A A = A(-A) = A \bar{A}^T$

b. Let  $G = U(n, \mathbb{C})$ , explain why  $L(G) = \{A \in M(n, \mathbb{C}) : \bar{A}^T + A = 0_n\}$

pf)  $\subseteq$  is already shown.

for  $\geq$ , pick  $A \in \{A \in M(n, \mathbb{C}) : \bar{A}^T + A = 0_n\}$

Claim:  $\gamma(t) = \exp(tA)$  satisfies  $\gamma(t) \in G = U(n, \mathbb{C})$  and  $\gamma'(0) = A$ . d.o.k.

$$\text{pf) } \overline{\gamma(t)}^T \gamma(t) = \overline{\exp(tA)}^T \exp(tA) = \exp(t\bar{A}^T) \exp(tA)$$

$$= \exp(t(\bar{A}^T + A)) = \exp(t0_n) = I_n, \quad \therefore \gamma(t) \in U(n, \mathbb{C}).$$

( $\because$  by a,  $\bar{A}^T$  and  $A$  commute)

$$\therefore L(G) = \{A \in M(n, \mathbb{C}) : \bar{A}^T + A = 0_n\}$$

c. same,  $\det(\exp(tA)) = 1 \Leftrightarrow \exp(\text{tr}(tA)) = 1 \Leftrightarrow \text{tr}(tA) = 0 \Leftrightarrow \text{tr}(A) = 0$ .

$$\text{d. ① } \overline{[A, B]}^T = B^* A^* - A^* B^* = BA - AB = -[A, B],$$

$$\text{② } \text{tr}([A, B]) = \text{tr}(BA) - \text{tr}(AB) = 0.$$

$\rightarrow$  This implies the commutator is still a valid Lie algebra.

6.2.12. Show that  $L(G)$  is real vector space.

$$A \in L(G) \Rightarrow \exists \gamma: \mathbb{R} \rightarrow G \text{ s.t. } \gamma'(0) = A, \gamma(0) = I_n$$

$$\Rightarrow \text{let } \tilde{\gamma}(t) = \gamma(ct), \text{ then } \tilde{\gamma}'(0) = c \gamma'(0) = cA \Rightarrow cA \in L(G) \text{ for } c \in \mathbb{R}.$$

If  $c \in \mathbb{C}$ ,  $\tilde{\gamma}$  may be undefined.

Show that

$$6.2.13 \text{ ① } L(GL(n, \mathbb{C})) = M(n, \mathbb{C}) \Rightarrow \text{given } A \in M(n, \mathbb{C}), \text{ consider } \gamma = e^{At}$$

$$\text{② } L(SL(n, \mathbb{C})) = \{A \in M(n, \mathbb{C}), \text{tr}(A) = 0\}$$

$$\Rightarrow \text{given } A \in M(n, \mathbb{C}) \text{ s.t. } \text{tr}(A) = 0, \gamma = e^{At} \Rightarrow \det(\gamma) = e^{\text{tr}(A)t} = 1.$$

③ closed under complex scalar multiplication & matrix bracket is straightforward.

### 3] Finite fields.

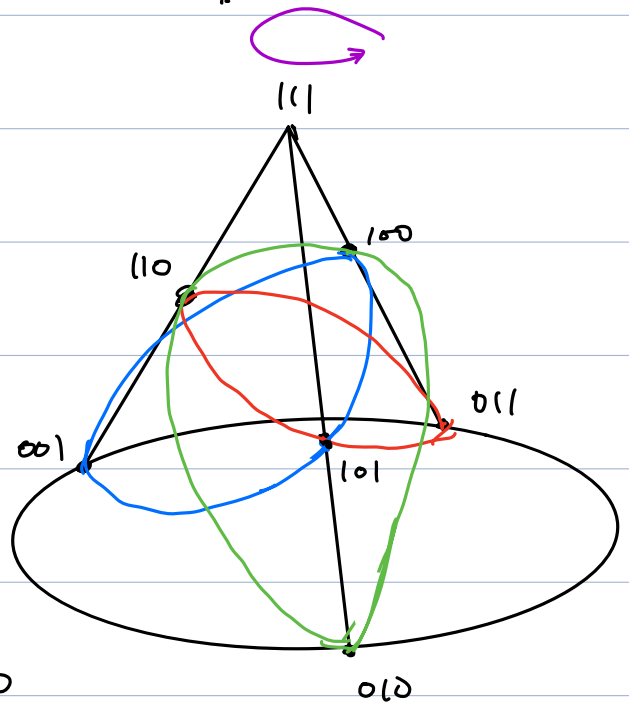
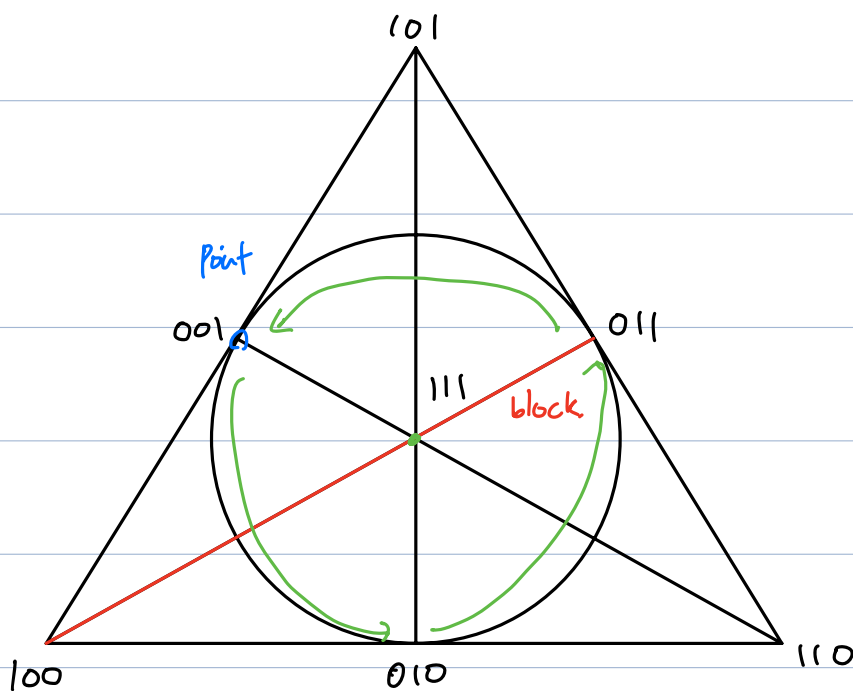
e.g.  $F_2, F_p,$

Goal: Investigating  $G = SL(3, F_2)$  as a group of symmetries of V.S.  $V = (F_2)^3$ .

and related geometry called a symmetric design.

Subspace of  $V$ : ① Seven one-dimensional  $\{0, v\}$

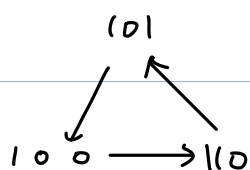
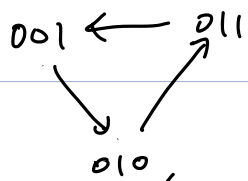
② Seven two-dimensional  $\{0, v, w, v+w\}$



6.3.7  $M_1 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \in SL(3, F_2), \quad M_1^2 = I_3.$

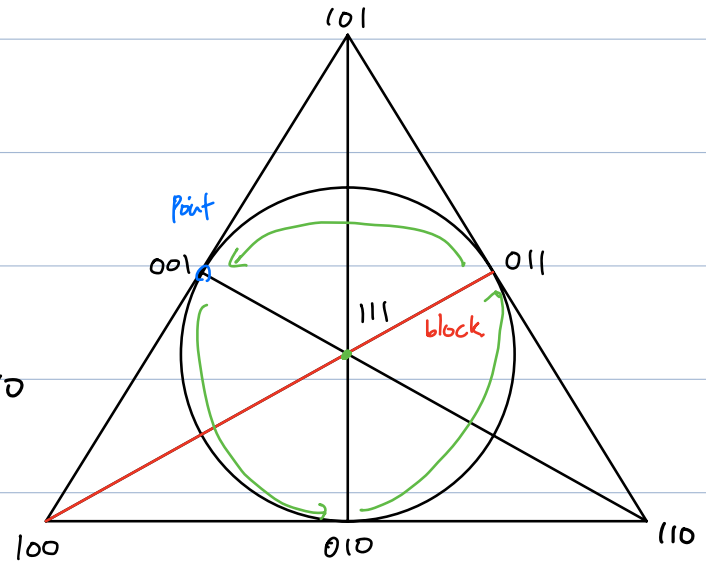
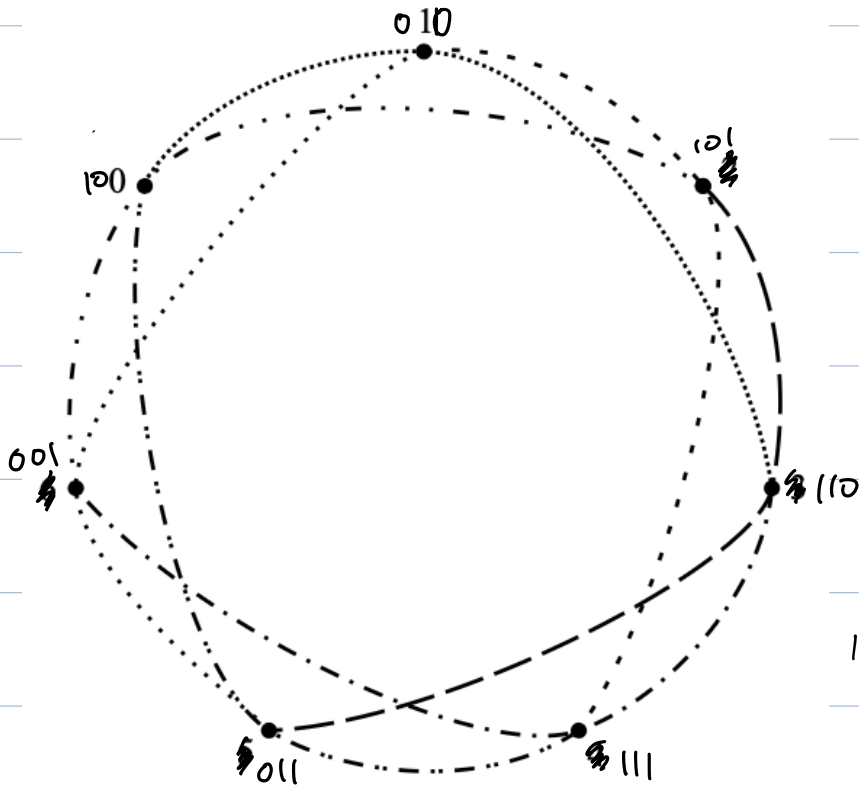
$M_1 \Leftrightarrow$  reflection with respect to line  $100-111-011$  (red one)

6.3.8  $M_2 = \begin{pmatrix} 1 & 0 & 0 \\ 1 & 1 & 1 \\ 0 & 1 & 0 \end{pmatrix} \in SL(3, F_2), \quad M_1^2 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 1 & 1 & 1 \end{pmatrix} \quad M_1^3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} = I_3$



$111 \rightarrow 111$

6.3.9  $M_3 = \begin{pmatrix} 0 & 1 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$ ;  $100 \rightarrow 010 \rightarrow 101 \rightarrow 110 \rightarrow 111 \rightarrow 011 \rightarrow 001$



(1,3,1) design.

In general, we call this  $(v, k, \lambda)$  symmetric design.

→  $v$  points,  $v$  blocks,  $k$  points in one block,

Two distinct blocks have exactly  $\lambda$  points in common,

Two points belong to  $\lambda$  blocks.

# A Finite groups of Lie types.

Intrinsically, finite groups are discrete  $\Rightarrow$  No calculus can be made.  
 $\Rightarrow$  No tangent spaces & Lie algebra.

Then, we start from Lie algebra. (Recall that, chap 5 devoted to capture groups info from its tangent space)

Lie algebra  $L$ : v.s. with bracket op. satisfies  
(with scalar field  $\mathbb{F}$ )

- $v, w \in L \Rightarrow [v, w] \in L$
- $[w, v] = -[v, w]$
- bilinearity
- Jacobi identity.

Def  $\text{Aut}(L) = \{T: L \rightarrow L : T \text{ is Lie algebra automorphism}\}$

Then  $\text{Aut}(L)$  is a group under composition.

Now we may build  $G(L, \mathbb{F}) \leq \text{Aut}(L)$  (which will be  $G$ )

$\rightarrow L$  acts as tangent space if  $\mathbb{F}$  admits differentiation.

**Theorem 6.5.2\*** (Chevalley) Assume  $L$  is a finite-dimensional simple Lie algebra over the complex numbers. Then  $L$  has a basis  $\{X_1, X_2, \dots, X_n\}$  for which all the "structure constants" are integers; that is,  $[X_i, X_j] = \sum a_{ijk} X_k$  and the  $a_{ijk}$  are integers, for all  $i, j, k = 1, \dots, n$ .

$\rightarrow$  let it  $B$

Define  $\text{ad}(x): L \rightarrow L$  by  $\text{ad}(x)(y) = [x, y]$

$\Rightarrow$  Prop. If  $X \in B$ , then matrix representation of  $\text{ad}(x)$  is

diagonal  
strictly upper/  
lower triangular.

$\Rightarrow \exp(t \operatorname{ad}(x))$  can be defined, for every  $X \in \mathfrak{B}$ , and it preserves bracket op,

$\Rightarrow$  We define  $G(L, \mathbb{F}) = \langle M(t, X) = \exp(t \operatorname{ad}(X)), t \in \mathbb{F}, X \in \mathfrak{B} \rangle$

(generated by  $\exp(t \operatorname{ad}(X))$ ).

This  $G(L, \mathbb{F})$  is called Chevalley group of adjoint type ass, with  $L$  over  $\mathbb{F}$ .